

Fisheries bycatch risk to marine megafauna is intensified in Lagrangian coherent structures

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Incidental catch of nontarget species (bycatch) is a major barrier to ecological and economic sustainability in marine capture fisheries. Key to mitigating bycatch is an understanding of the habitat requirements of target and nontarget species and the influence of heterogeneity and variability in the dynamic marine environment. While patterns of overlap among marine capture fisheries and habitats of a taxonomically diverse range of marine vertebrates have been reported, a mechanistic understanding of the real-time physical drivers of bycatch events is lacking. Moving from describing patterns toward understanding processes, we apply a Lagrangian analysis to a high-resolution ocean model output to elucidate the fundamental mechanisms that drive fisheries interactions. We find that the likelihood of marine megafauna bycatch is intensified in attracting Lagrangian coherent structures associated with submesoscale and mesoscale filaments, fronts, and eddies. These results highlight how the real-time tracking of dynamic structures in the oceans can support fisheries sustainability and advance ecosystem-based management.

ocean model | marine vertebrate | gillnet | Finite-Time Lyapunov Exponent | fronts

Managing the competing demands of resource extraction and biodiversity conservation is a central challenge in maintaining ecosystem function across terrestrial and marine systems (1, 2). In the oceans, overharvesting, habitat degradation, and bycatch—incidental catch that is unwanted, unused, or unmanaged (3)—are global-scale barriers to fisheries sustainability. Seafood is a major protein source for more than 3 billion humans worldwide (4), and the importance of a sustainable supply will increase with the rapidly rising global population (5). Prioritizing the ecological sustainability of marine fisheries is crucial to preventing ecosystem collapse and protecting food sources and future livelihoods in fisheries-reliant communities (6, 7).

Nontarget catch represents an estimated 40% by mass of all marine catch (3) and has been identified as the most serious global threat to a diverse array of marine vertebrates including sea turtles, seabirds, marine mammals, pinnipeds, and elasmobranchs (3, 8–10). Marine megafauna populations face a range of cumulative anthropogenic stressors, particularly in coastal zones under intensive human use (11), and many are of immediate conservation concern (12–14). Life history characteristics such as long life span, low fecundity, late maturity, and wide-ranging movements exacerbate the ecological impacts of fisheries bycatch, as populations struggle to buffer anthropogenic pressure. The removal of high-trophic level species, described as trophic downgrading (15, 16), causes substantial changes in ecosystem function (12) and reduces the profitability of fisheries (5).

To halt or reverse these trends, bycatch mitigation measures such as changes to fishing gear and practice and fishing effort reallocation and redistribution have been successfully implemented in many fisheries (17, 18). However, the relative success of these mitigation options relies upon management effort being

both well-targeted in space and time, and effectively enforced. Spatial fisheries management solutions, such as Marine Protected Areas, time-area closures, or gear modification zones, require a scale-matched understanding of the spatiotemporal dynamics of fisheries and of the habitat preferences of both target species and bycatch-sensitive populations.

Bycatch hotspots and trends have been explored using spatially and temporally explicit fisheries data at global (9, 10, 19–21), ocean-basin (22–24), national (25, 26), and regional (27, 28) scales. However, previous studies have predominantly focused on correlations and long-term patterns of spatiotemporal overlap among fisheries and high-use habitats of marine vertebrates (23, 24, 29) and are often population—or species—specific. While these studies have yielded important insights into the location of bycatch hotspots, seldom do the analytical techniques used account for spatiotemporal dynamics, yield insights at management-relevant spatial (kilometers to tens of kilometers) or temporal (daily, weekly, monthly) scales, or attempt to identify the fundamental physical drivers that underlie observed patterns.

It is well-established that biophysical coupling at submesoscale and mesoscale [hereafter (sub)mesoscale] features such as frontal systems and eddies can result in productive foraging habitats for marine predators (29–32). Fronts, eddies, and filaments can become hotspots of productivity through nutrient enrichment, turbulent

Significance

Marine capture fisheries provide a valuable source of protein and are economically important in coastal communities. However, fisheries sustainability is impacted by incidental capture of nontarget species (bycatch), which remains a major global threat to marine megafauna such as sharks, sea turtles, seals, cetaceans, and seabirds. Understanding where and when bycatch events take place can guide fisheries sustainability solutions. Here, we model how dynamic structures in the ocean such as fronts and eddies influence fisheries effort, catch, and bycatch likelihood. We find that bycatch of a diverse range of species is more likely in attracting Lagrangian coherent structures, in which water masses meet and aggregate prey, predators, and fishers into hotspots of risk.

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vertical mixing (33, 34), proliferation of phytoplankton, and physical aggregation of zooplankton (35). These processes of biophysical coupling attract midlevel consumers such as small pelagic fish and gelatinous zooplankton, which are themselves prey items for marine megafauna such as seabirds, turtles, cetaceans, pinnipeds, sharks, and large teleost fishes. Marine predators of multiple taxa are known to exploit these accessible and predictable foraging opportunities (32, 36, 37), as are the fisheries that target both bait fish and large predatory teleosts that are found in higher numbers in association with submesoscale structures (38). Hence, (sub)mesoscale structures can concentrate forage resources, fish, fisheries, and predators into hotspots of bycatch risk to marine vertebrates.

Broad-scale regions of increased fisheries bycatch risk have been identified using overlap-based analyses that map oceanic structures using common remotely sensed measures of the physical environment such as sea surface temperature (SST) and surface chlorophyll-a concentration (23, 24, 28, 39–41). Front frequency and frontal probability indices have highlighted broad-scale regions of persistent frontal activity as core foraging habitats of marine megafauna, and hotspots of fisheries overlap (29), but are more useful for identifying patterns over seasonal, annual, or climatological scales than for resolving real-time interactions. Time-matched remotely sensed data have been used to examine correlations among catch rates of key targeted species (e.g., tuna and billfish) and contemporaneous mesoscale structures, using SST, chlorophyll-a, and sea level anomalies (SLA) (42–44). Satellite tracking studies have related animal movements to thermal fronts in SST fields, or chlorophyll-a fronts in ocean color fields, through the derivation of gradient-based metrics (45), spatial SD, or histogram-based edge detection (46). While these techniques have advanced our understanding of structure and function in pelagic systems, they cannot adequately resolve the influence of the dynamic submesoscale flow field in driving real-time fisheries interactions. Objective validation of the functional responses of marine predators, and of fisheries, to dynamic structures is complex and reliant on acquisition of data at sufficient scales to link pattern and process (47).

An alternative technique is to use Lagrangian methods to identify dynamic structures in ocean surface velocity fields. For example, the Finite-Time or Finite-Size Lyapunov Exponents [FT(S)LE] can be applied to sequences of surface velocity fields to identify Lagrangian coherent structures (LCS) in the surface flow (48). LCS are material curves that map dynamic flow features (49) and are known to contribute to the structuring of marine ecosystems (50). LCS mapping identifies attracting structures in the surface flow field using ridges in backward-in-time FT(S)LE and repelling structures using forward-in-time FT(S)LE. LCS mapping is emerging as a powerful technique in marine spatial ecology and has been used to identify habitats of marine predators such as seals (37, 51), seabirds (52), and baleen whales (36, 53) and to evaluate the design of Marine Protected Areas (54). However, FSLE derived from satellite altimetry fields is served only as a coarse resolution (0.25°), 3-d composite, limiting its utility for inferring real-time mechanistic linkages. The periodicity of satellite overpasses (1 wk to 10 d) can result in over-smoothing in the velocity field defined through altimetry, reducing the temporal and spatial resolution in the resultant FSLE product, and satellite altimetry can exhibit lower performance in upwelling regions, such as the California Current System (CCS), than elsewhere.

Here, we present a high-resolution LCS mapping product derived from daily velocity fields of a data assimilative CCS configuration of the Regional Ocean Modeling System (ROMS). We take a detailed, multispecies approach to quantifying the influence of the dynamic physical environment on the likelihood of marine megafauna bycatch in the CCS. Seasonal wind-driven upwelling in the CCS, a global marine biodiversity hotspot, results in the development of rich feeding grounds that support vast numbers of marine vertebrates, both migrant and resident (39), many of which

are protected under international and US federal legislation (Marine Mammal Protection Act 1972; Endangered Species Act 1973). The CCS is a highly energetic eastern boundary upwelling system with a complex, dynamic flow field characterized at the submesoscale by fronts, eddies, and filaments. Using a spatially explicit time series (1990–2010) of National Oceanic and Atmospheric Administration (NOAA) fisheries observer data from a drift gillnet fishery, coupled with high-resolution FTLE fields derived from ROMS, we explore how attracting LCS influence the likelihood of fisheries interactions with both exploited and protected marine megafauna.

Results

Attracting LCS, and the spatiotemporal evolution of these convoluted and dynamic features, were mapped daily over the CCS domain for the period 1990–2010 (Fig. 1). Our findings demonstrate that over the 21-y period examined, fisheries effort in the California drift gillnet fishery was significantly more likely to be associated with increased magnitude of FTLE, establishing that this fishery targets attracting LCS associated with (sub)mesoscale surface structures such as upwelling filaments, fronts, and eddies. The locations of gillnet sets on haul-out were more likely than unfished locations to be associated with attracting LCS (as indicated by the median FTLE magnitude; see *Methods*). The slope of the relationship between the probability of fishing vessel presence and the strength of the attracting LCS field was highly significant in all model iterations (*SI Appendix*).

The primary target species of this drift gillnet fishery is broadbill swordfish *Xiphias gladius*. Several secondary target species are incidentally caught yet retained for sale (e.g., Pacific bluefin tuna *Thunnus orientalis*, pelagic thresher shark *Alopias pelagicus*, common thresher shark *Alopias vulpinus*, shortfin mako shark *Isurus oxyrinchus*, smooth hammerhead shark *Sphyrna zygaena*, opah *Lampris guttatus*), and a range of nontarget species are caught as bycatch (e.g., blue shark *Prionace glauca*, ocean sunfish *Mola mola*, leatherback turtle *Dermochelys coriacea*, loggerhead turtle *Caretta*

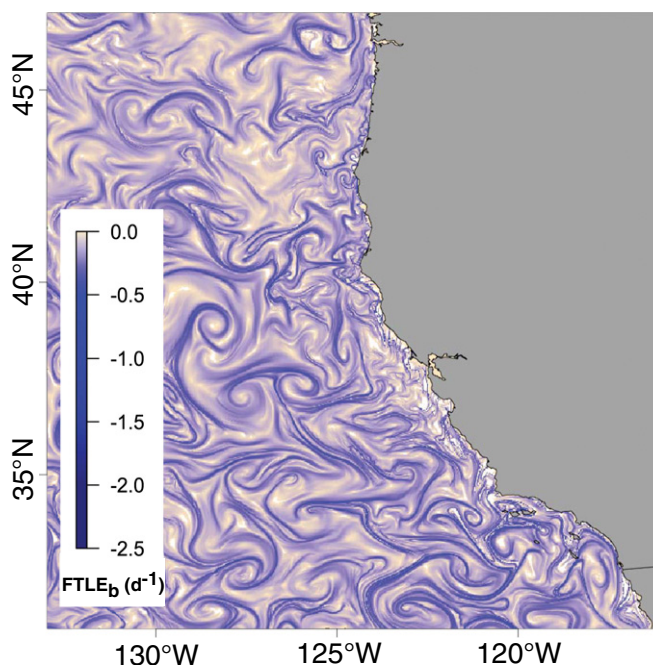


Fig. 1. Attracting LCS in the California Current System, mapped as a daily field using $FTLE_b$ applied to zonal and meridional velocity fields from California Current configuration of the ROMS (65).

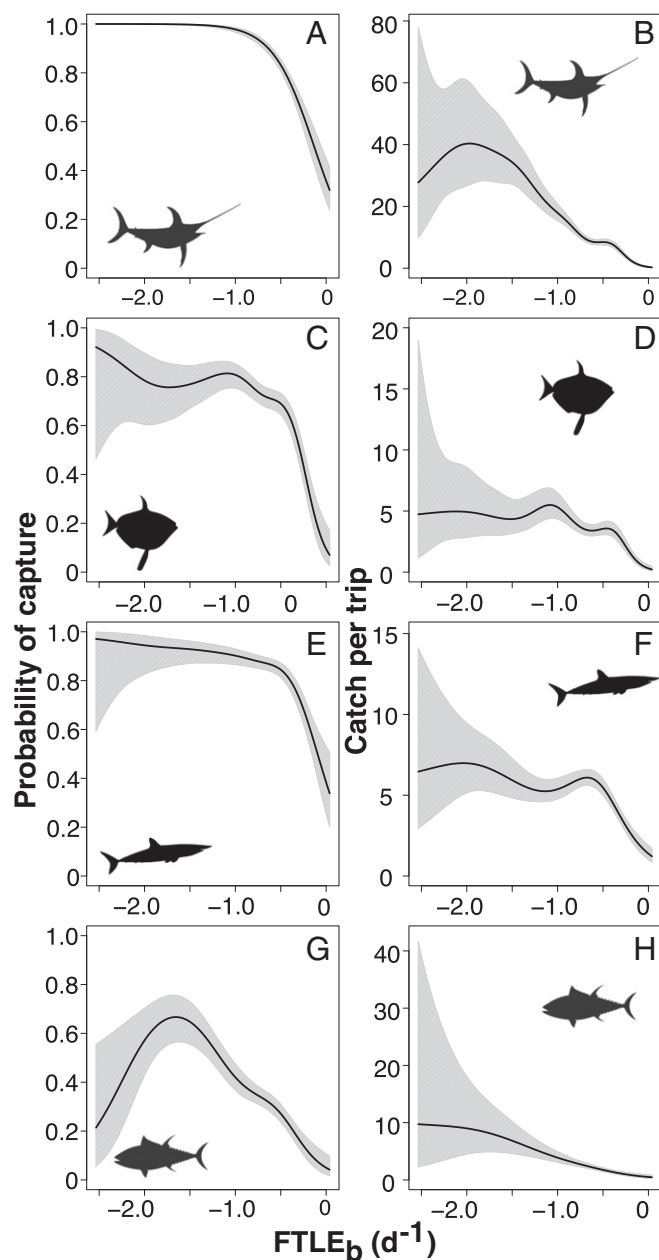


Fig. 2. Effect of FTLE_b on probability of capture (A, C, E, and G) and catch per trip (B, D, F, and H) of target or secondary target species, (A and B) broadbill swordfish, (C and D) opah, (E and F) shortfin mako shark, and (G and H) bluefin tuna. Higher magnitude of negative FTLE_b indicates number and strength of attracting Lagrangian coherent structures in the vicinity of each gillnet set. Shaded gray polygons show 95% confidence intervals.

caretta, California sea lion *Zalophus californianus*, northern elephant seal *Mirounga angustirostris*).

We found a highly significant influence of FTLE magnitude on swordfish catch probability (Fig. 2 *A* and *B* and *SI Appendix*). Swordfish were more likely to be caught in drift gillnets, and in higher numbers, when sets were associated with attracting LCS. Other predatory fishes including economically valuable, and vulnerable, Pacific bluefin tuna, several species of shark (common thresher, pelagic thresher, shortfin mako, smooth hammerhead, blue shark), opah, and ocean sunfish were landed as secondary targets or bycatch significantly more frequently, and in higher numbers, in attracting LCS (Figs. 2 and 3 and *SI Appendix*). In

particular, attracting LCS strongly influenced rates of blue shark bycatch (Fig. 3 *E* and *F* and *SI Appendix*).

Moreover, our analyses revealed a pattern of increased risk of entanglement of protected species including cetaceans, sea turtles, and pinnipeds in association with attracting LCS (Fig. 4 and [SI Appendix](#)). While rates of marine megafauna bycatch in the fishery have decreased substantially since the introduction in 2001 of a large-scale seasonal closure designed to reduce sea turtle bycatch (the Pacific Leatherback Conservation Area), the observed pattern of increased bycatch likelihood of attracting LCS held true for the protected marine vertebrate group including sea

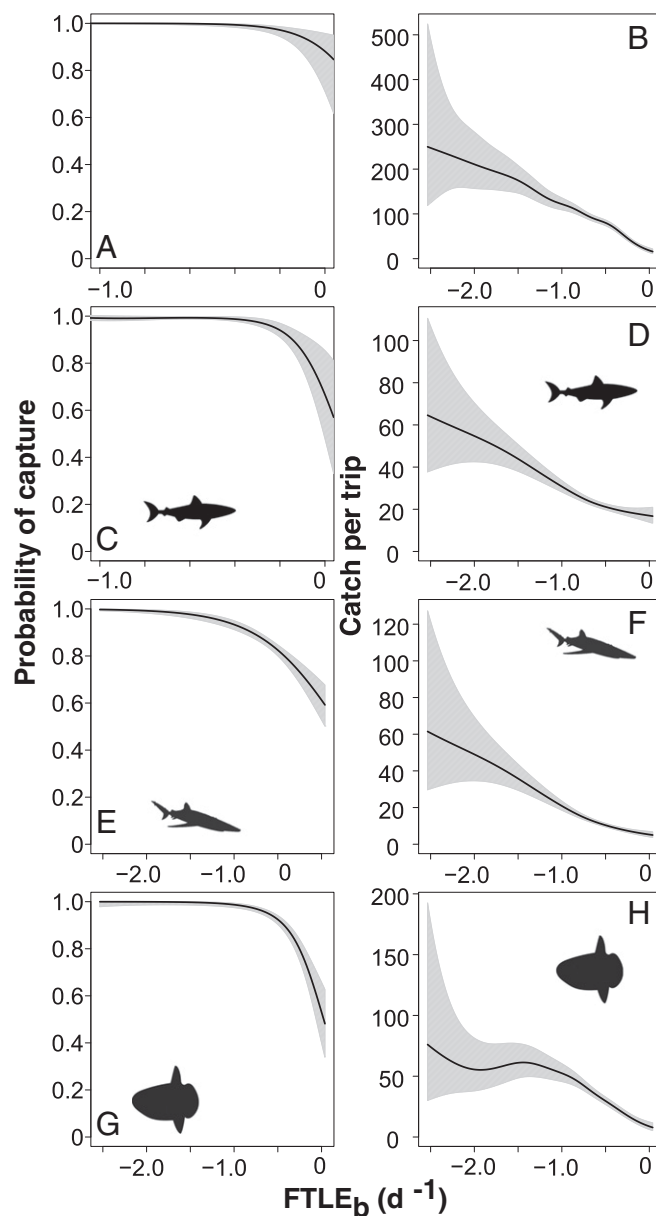


Fig. 3. Effect of FTLE_b on probability of incidental capture and predicted bycatch densities of nontarget pelagic fish species. Taxon-specific probability of bycatch (*A*, *C*, *E*, and *G*) and total bycatch per trip (*B*, *D*, *F*, and *H*) for (*A* and *B*) all bycatch species, (*C* and *D*) all shark species, (*E* and *F*) blue shark, and (*G* and *H*) ocean sunfish. Higher magnitude of negative FTLE_b indicates number and strength of attracting Lagrangian coherent structures in the vicinity of each gillnet set. Shaded gray polygons show 95% confidence intervals.

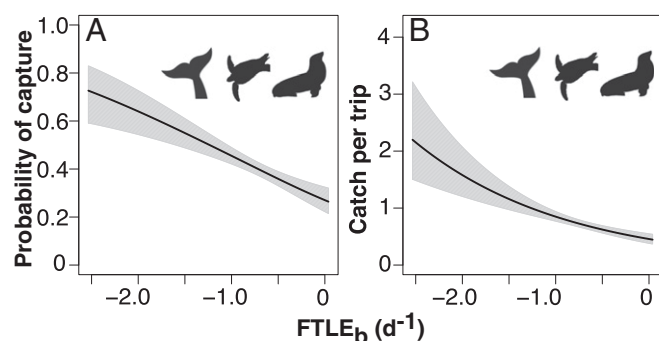


Fig. 4. Effect of FTLE_b on (A) probability of incidental capture of protected species (cetaceans, sea turtles, pinnipeds) and (B) total bycatch of protected species per trip. Higher magnitude of negative FTLE_b indicates number and strength of attracting Lagrangian coherent structures in the vicinity of each gillnet set. Shaded gray polygons show 95% confidence intervals.

turtles, such as the endangered loggerhead and critically endangered leatherback turtles, cetaceans listed under the Marine Mammal Protection Act (MMPA 1972) such as sperm whales *Physeter macrocephalus*, humpback whales *Megaptera novaeangliae*, beaked whales and delphinids, and MMPA-listed northern elephant seals *M. angustirostris*.

Comparing probabilities of capture and predictions of total catch across the range of species with which the fishery interacted over the 21-y study period (Figs. 2–4 and *SI Appendix*) yields valuable information regarding which species are more at risk in association with the bycatch hotspots in LCS. For example, our models predict catch rates of 40 swordfish, 60 blue shark, 75 mola, and 10 bluefin tuna per fishing trip, associated with intense LCS fields (Figs. 2 and 3). Bluefin tuna are less likely to be caught than swordfish, blue shark, or mola, but have considerably higher economic value and conservation interest.

Discussion

Given the pressing need to reduce bycatch while maintaining fisheries profitability, understanding the role of the dynamic physical environment in structuring the distributions of marine megafauna is essential in designing sustainable fisheries solutions. Our results provide an objective demonstration of the effect of (sub)mesoscale LCS in the spatial structuring of marine ecosystems and, hence, fisheries bycatch interactions. The established mechanistic function of (sub)mesoscale structures in aggregating low trophic level organisms (33–35, 55) suggests that the observed intensification of bycatch risk in LCS is mediated through regulation of forage resource availability for marine predators of both exploited and protected status.

In contrast with previous work documenting long-term, broad-scale patterns of overlap between fisheries and core habitats of marine vertebrates (23, 24, 29, 41), this study uses high-resolution Lagrangian products time-matched with spatially explicit fisheries data to quantify the influence of (sub)mesoscale structures on fisheries effort, catch rates, and the likelihood of marine vertebrate bycatch events across multiple taxa. Our approach focuses on the biophysical processes that underlie fisheries interactions, yielding important insights into the influence of the contemporaneous physical environment at spatial and temporal scales that are relevant to the design of conservation and management initiatives, particularly in developing tools for spatially dynamic ocean management (56–60).

Importantly, we find evidence of intensified likelihood of fisheries interactions in attracting LCS that holds true for target species, secondary targets, and bycatch-sensitive species. Catch rates of a diverse array of species, including elasmobranchs of management concern (e.g., blue shark, shortfin mako, common thresher, pelagic

thresher), large teleost fish such as bluefin tuna, opah, and *M. mola*, and of protected species including cetaceans, sea turtles, and pinnipeds, are higher in association with LCS. Our analyses indicate that these are not coincidental interactions, as gillnet set locations are strongly associated with attracting LCS. Communication with the fishery community supports the hypothesis that the fishery actively targets (sub)mesoscale surface structures, which can be indicated by lines of advected drifting foam and debris at the surface. Some LCS are also coincident with SST discontinuities that are visible in satellite imagery, although many features do not have a signature in SST. A strategy of targeting attracting LCS appears to be profitable in that it increases the probability of catching greater numbers of the target species (see also ref. 38), but may in fact be counterproductive in also increasing the likelihood of interactions with protected species, which the fishery seeks to avoid.

Our findings suggest that including derived ocean features such as LCS in the design of dynamic ocean management solutions could facilitate bycatch reduction in marine fisheries (57). For example, dynamic time-area closures could track regions of intense submesoscale variability, such as persistent frontal zones (32), or areas in which attracting LCS manifest frequently, such as the peripheries of eddies and upwelling filaments. However, developing effective spatial tools for fisheries sustainability relies upon a knowledge of the factors that delineate and separate the distributions of target and nontarget species (44). In data-rich systems such as the CCS, using species distribution models (SDMs) that can resolve the relative distributions of target and nontarget species can inform fisheries of resource distributions and facilitate separation of catch and bycatch hotspots (40, 58, 59). Including LCS as predictors in these SDMs has potential to enhance predictive capabilities for separating fine-scale habitat preferences of target and bycatch-sensitive species, particularly where species-specific mechanistic responses to the contemporaneous physical environment are considered explicitly. Our multispecies quantification of catch and bycatch probabilities enables the development of preferential catch–bycatch strategies based on near real-time environmental conditions (see also ref. 59).

Alongside dynamic time-area closures, fine-scale set positioning with respect to LCS, as well as gear modifications for depth selectivity, could allow for continued exploitation of aggregations of target species while reducing bycatch risk. For example, the observed functional responses of tunas to submesoscale thermal fronts establishes that teleost fish are likely to be closer to the surface when exploiting thermal resources on the warm side of a front, and deeper in the water column when targeting forage resources on the cold side (47). This suggests that a strategy targeting surface aggregations on the warm side of a convergent front could avoid interactions with other nontarget endothermic predators exploiting the same bait fish aggregation near the thermocline beneath the cooler side of the front.

Vertical niche separation also confers opportunity for fine-scale selectivity through the targeting of particular depth ranges, as pelagic habitats are structured over three dimensions. Dissimilar foraging ecology, physiological constraints, and diel vertical migratory behavior influence the use of the water column by different species and age classes of large pelagic fish (61). For example, whole-body endothermy in opah allows the fish to remain in deeper, colder water for extended periods (62), whereas poikilotherms with organ-specific countercurrent heat exchange such as tuna and swordfish are more sensitive to thermal constraints and appear to use thermal fronts to maximize energetic efficiency in foraging (47). Moreover, air-breathing endotherms such as marine mammals and seabirds exhibit divergent depth utilization strategies to those of true pelagics such as sharks and large teleost fishes. Depth utilization by air-breathing predators is limited by physiological constraints, which necessitate regular dives interspersed by forays to the surface to breathe. Therefore, it would be reasonable to expect species-specific patterns of depth utilization around (sub)mesoscale structures, which could be exploited to target

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